JC950 U.S. PTO
08/10/01

08/13/01

JC971 U.S. PTO
09/927741
08/10/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING
I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on August 10, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **EL898678135US**, addressed to the Commissioner for Patents, Washington, DC 20231.

S Noriega
SUNSHINE NORIEGA

Attorney Docket No.: NOVLP020/NVLS-422

First Named Inventor: Jonathan D. Reid

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

(Regular application claiming priority of a provisional)

Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors: **Jonathan D. Reid, Steven T. Mayer, R. Marshall Stowell, Evan E. Patton, and Jeff A. Hawkins**

For: **IMPROVED CLAMSHELL APPARATUS FOR ELECTROCHEMICIALLY TREATING WAFERS**

Assigned to: Novellus Systems, Inc.

Priority of U.S. Provisional Application No. 60/295,245 filed on May 31, 2001 is claimed under 35 U.S.C. § 119(e).

Application Elements:

- ☒ **29** Pages of Specification, Claims and Abstract
- ☒ **25** Sheets of formal Drawings
- ☒ 3 Pages Combined Declaration and Power of Attorney
- ☐ Separate Declaration

Accompanying Application Parts:

- ☒ Do not publish this application. Nonpublication Request is attached.
- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of $40.00 enclosed)
- ☐ Separate Power of Attorney by Assignee
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449

☐ Co[illegible] of IDS Citations

☐ Preliminary Amendment

☒ Return Receipt Postcard

Claim For Foreign Priority

☐ Priority of Application No. filed on is claimed under 35 U.S.C. § 119.

☐ The certified copy is enclosed. ☐ The certified copy will follow.

☐ Amend the specification by inserting before the first line the sentence:

"This application claims the benefit of U.S. Provisional Application No. filed on , the disclosure of which is incorporated herein by reference."

Fee Calculation (37 CFR § 1.16)

☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	40	MINUS	20	= 20	x 18	360.00
INDEP.	2	MINUS	3	= 0	x 80	0
[ ] First presentation of multiple dependent claim					$270	0
Basic Filing Fee under 37 C.F.R. §1.16(a)					$710	710.00
TOTAL						1,070.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						0

☒ Check No. in the amount of $1,110.00 is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. NOVLP020).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. NOVLP020).

☒ Please send correspondence to the following address:

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Date: 10-Aug-01

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